## SELECTED FCM FINANCIAL DATA AS OF March 31, 2014 FROM REPORTS FILED BY May 01, 2014

_ A	В	С	D	Е	F	G	Н		J	K	L	M	N	0	Р	Q	R	S	Т	U
1	Futures Commission Marshaut /	Dogiotorod		An of		Not Conital		Customaral Assets	Customeral Sea	Evenes/Definient	Target Desidual	Funds in Separate	Customer Amount	Excess/Deficient	Target Residual	Funds in Separate	Customer Amount	Excess/Deficient	Target Residual	Total Amount of
	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Section 30.7 Accounts	Pt. 30 Required	Funds in Separate Section 30.7	Interest in Section 30.7	Cleared Swap	Cleared Swap Seg	Funds in Cleared	Interest in Cleared Swap Seg	Retail Forex
3		(a)	(6)			(a)	(4)	(6)	(6)	(5)	/h)	(i)	(i)	Accounts		Segregation	Required	Swap Seg Accounts		Obligation
5		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(1)	U	(k)	(1)	(m)	(n)	(o)	(p)	(q)
6	1 ABN AMRO CLEARING CHICAGO LLC 2 ADM INVESTOR SERVICES INC	FCM BD	CBOT	3/31/2014	493,915,910	132,808,342	361,107,568	2,590,908,549	2,349,766,712	241,141,837	113,599,399	192,934,716	-,,	43,766,566	22,375,222	0	0	0	0	0
_	2 ADVANTAGE FUTURES LLC	FCM FCM	CBOT CME	3/31/2014	284,807,244 22,770,679	180,636,136 10,859,743	104,171,108 11,910,936	3,404,513,830 406,143,287	3,154,696,910 392,623,062	249,816,920 13,520,225	120,000,000 3,500,000	232,222,874 47,539,637		63,703,612 2,500,376	20,000,000	15,340,891 0	5,331,927	10,008,964	8,000,000	0
	4 ALPARI (US) LLC	FCM	NFA	3/31/2014	3,657,586	1,000,000	2,657,586	0	0	0	0	0	0	0	0	0	0	0	0	32,249
_	5 AMERIPRISE FINANCIAL SERVICES INC 6 AMP GLOBAL CLEARING LLC	FCM BD FCM	NFA NFA	3/31/2014	164,474,857 2,507,060	1,000,000 1,500,000	163,474,857 1,007,060	55,506,831	53,955,411	0 1,551,420	900,000	1,048,062	0 445.198	602,864	150,000	0	0	0	0	0
12	7 BARCLAYS CAPITAL INC	FCM BD	NYME	3/31/2014	6,758,550,387	1,381,346,411	5,377,203,976	6,983,281,218	6,333,355,998	649,925,220	215,334,104		· · · · · · · · · · · · · · · · · · ·	212,985,788		6,054,946,654	5,092,979,386	961,967,268	468,554,103	0
	8 BGC FINANCIAL LP 9 BNP PARIBAS PRIME BROKERAGE INC	FCM BD FCM BD	NFA NYME	3/31/2014 3/31/2014	58,682,470 3.320.001.763	2,367,545 259,929,755	56,314,925 3,060,072,008	3.639.984.543	3,269,679,148	0 370.305.395	0 326.967.915	20,982,555	0 2.520.003	18.462.552	10.000.000	5.100.000	0	5.100.000	5.000.000	0
15 1	0 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	3/31/2014	1,696,822,476	198,126,480	1,498,695,996	1,259,602,428	1,019,413,438	240,188,990	203,882,688	33,349,126		23,304,274	1,004,485	228,625,126	168,257,222	-11	16,825,722	0
_	1 BOCI COMMODITIES & FUTURES USA LLC 2 CANTOR FITZGERALD & CO	FCM BD	NFA CBOT	3/31/2014	4,044,586 314,331,440	1,000,000 9,316,913	3,044,586 305,014,527	4,969,921	0	0 4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
_	3 CAPITAL MARKET SERVICES LLC	FCM	NFA	3/31/2014	1,358,780	1,000,000	358,780	0	0	4,909,921	0	0	0	0	0	0	0	0	0	0
	4 CHS HEDGING INC 5 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	3/31/2014 3/31/2014	22,817,241 5,741,894,637	16,359,978	6,457,263	169,443,141	144,553,772	24,889,369	13,100,000	953,839	· · · · · · · · · · · · · · · · · · ·	720,638		1,338,712	697,791	· · · · · · · · · · · · · · · · · · ·	310,000	0
	6 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD SD	CBOT/NFA	3/31/2014	8,011,241,341	929,564,976 2,186,374,500	4,812,329,661 5,824,866,841	5,314,224,357 9,305,515,680	5,071,411,051 7,875,051,376	242,813,306 1,430,464,304	242,000,000 393,752,569			191,589,239 757,538,488		4,786,121,178 7,063,607,400	4,472,529,168 5,110,803,697	313,592,010 1,952,803,703	272,889,800 255,540,185	0
_	7 CROSSLAND LLC	FCM	CBOT	3/31/2014	9,862,268	1,609,168	8,253,100	81,616,916	79,826,662	1,790,254	1,000,000	6,757,132		992,351	500,000	0	0	0	0	0
_	8 CUNNINGHAM COMMODITIES LLC 9 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CBOT CME	3/31/2014 3/31/2014	4,512,161 557,326,538	1,265,263 9,384,678	3,246,898 547,941,860	68,399,951 9,473,884	65,373,069 3,993,749	3,026,882 5,480,135	2,050,000 3,993,749	566,469 628,328		213,901 628,328	100,000	0	0	0	0	0
25 2	0 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	3/31/2014	8,400,590,364	692,720,673	7,707,869,691	13,953,910,719	13,417,132,764	536,777,955	500,000,000	1,279,919,636	983,028,230	296,891,406	200,000,000	1,533,551,843	1,219,619,536	313,932,307	250,000,000	0
	1 DORMAN TRADING LLC 2 E D & F MAN CAPITAL MARKETS INC	FCM BD	CME CME	3/31/2014 3/31/2014	11,790,658 32,739,311	1,637,611 10,715,598	10,153,047 22,023,713	140,374,552 275,159,234	136,755,472 259,587,956	3,619,080 15,571,278	2,000,000 2,000,000	3,086,229 7,757,970	, ., .	373,084 1,680,506	200,000 303,873	0	0	0	0	0
28 2	3 E TRADE CLEARING LLC	FCM BD	NFA	3/31/2014	726,231,153	160,065,844	566,165,309	49,585,248	37,974,838	11,610,410	10,000,000	1,813,279		1,007,799		0	0	0	0	0
	4 EFL FUTURES LIMITED 5 FCSTONE LLC	FCM FCM	CME CME	3/31/2014 3/31/2014	38,519,430 125,610,618	17,399,073 86.518.542	21,120,357 39,092,076	254,595,445 1.864.800.077	241,659,649 1,838,182,885	12,935,796 26.617.192	5,000,000 20.000.000	64.863.029	0 48.130.435	16.732.594	14.000.000	0	0	0	0	0
31 2	6 FIXI AMERICAS LLC	FCM	NFA	3/31/2014	1,525,948	1,000,000	525,948	0	0	0	0	0	0	0	0	0	0	0	0	0
	7 FOREX CAPITAL MARKETS LLC 8 FRIEDBERG MERCANTILE GROUP INC	FCMRFD SD FCM	NFA NFA	3/31/2014 3/31/2014	65,387,135 3.083,761	27,722,022 1.000.000	37,665,113 2.083,761	3.576.877	0 2.519.369	1.057.508	1,000,000	0 1,109,759	0 90,839	1,018,920	Ů	0	0	0	0	164,440,447 0
	9 FRONTIER FUTURES INC	FCM	NFA	3/31/2014	1,795,587	1,000,000	795,587	24,442,612	23,375,042	1,067,570	311,275	0	0 0	0		0	0	0	0	0
	0 FXDIRECTDEALER LLC	FCMRFD SD FCMRFD	NFA NFA	3/31/2014	21,632,789 35,407,238	20,854,997	777,792 10,706,495	0 165.424.189	0 157.539.011	7.885.178	2,500,000	7.821.971	6.407.901	1,414,070	0	0	0	0	0	27,099,950 104.014.868
	1 GAIN CAPITAL GROUP LLC 2 GH FINANCIALS LLC	FCMRFD	CME	3/31/2014 3/31/2014	10,154,580	24,700,743 1,000,000	9,154,580	14,357,585	12,687,399	1,670,186	2,500,000 575,000	, , , , ,	-, -, -, -, -, -, -, -, -, -, -, -, -, -	261,698	. ,	0	0	0	0	104,014,868
	3 GLOBAL FUTURES & FOREX LTD	FCMRFD SD	NFA	3/31/2014	6,996,486	1,000,000	5,996,486	0	0	0	0	0	0	0	0	0	0	0	0	0
	4 GOLDMAN SACHS & CO 5 GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD SD FCM BD	CBOT/NFA CME	3/31/2014 3/31/2014	17,423,440,098 1,658,476,939	2,224,356,280 122,563,239	15,199,083,818 1,535,913,700	21,315,167,042 1,332,001,272	20,760,070,476 739,308,918	555,096,566 592,692,354	475,000,000 540,000,000			612,217,133 46,676,430		2,603,635,046 0	2,311,350,437	292,284,609	150,000,000	0
	6 GUGGENHEIM SECURITIES LLC	FCM BD	NFA	3/31/2014	68,775,122	1,550,662	67,224,460	0	0	0	0	0	0	0	0	0	0	0	0	0
	7 HSBC SECURITIES USA INC 8 IBFX INC	FCM BD RFED SD	CME NFA	3/31/2014	988,168,809 28,068,418	151,400,555 23,064,453	836,768,254 5,003,965	1,168,657,669	1,076,370,275	92,287,394	50,000,000	153,279,597	97,317,785	55,961,812 0	19,463,557	313,859,812	257,585,126	56,274,686	30,000,000	0 68,161,797
44 3	9 INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	3/31/2014	33,436,064	20,000,000	13,436,064	47,181,838	45,920,368	1,261,470	500,000	-,	· · · · · · · · · · · · · · · · · · ·	460,676	,	0	0	0	0	8,248,733
	0 INTERACTIVE BROKERS LLC 1 IRONBEAM INC	FCM BD FCM	NFA NFA	3/31/2014 3/31/2014	2,085,722,456 2,311,465	313,094,969 1,000,000	1,772,627,487 1,311,465	2,295,777,245 33,816,107	2,092,092,210 32,463,209	203,685,035 1,352,898	150,000,000 800,000	408,164,514 111,513		101,435,666 107,450		0	0	0	0	40,012,327 0
47 4	2 ITG DERIVATIVES LLC	FCM BD	NFA	3/31/2014	4,236,699	1,000,000	3,236,699	0	0	0	0	0	0	0	0	0	0	0	0	0
_	3 JEFFERIES BACHE LLC 4 JP MORGAN CLEARING CORP	FCM BD	CBOT NFA	3/31/2014 3/31/2014	208,259,000 7,376,738,587	132,525,760 1,920,800,137	75,733,240 5,455,938,450	2,300,177,000 935,312,593	2,193,813,000 616,782,215	106,364,000 318,530,378	90,000,000 55,510,399	221,333,000 550,236,787		66,929,000 212,993,071	50,000,000 30,351,935	49,575,000 250.000	29,147,000	20,428,000 250,000	5,000,000	0
_	5 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	3/31/2014	12,425,282,785	2,273,961,623	10,151,321,162	19,986,839,325	17,888,560,527	2,098,278,798	1,609,970,447	3,562,691,872		402,696,570	284,399,577	3,881,312,273	3,324,750,392	· · · · · · · · · · · · · · · · · · ·	299,227,535	0
	6 KCG AMERICAS LLC 7 LEK SECURITIES CORPORATION	FCM BD FCM BD	CME NFA	3/31/2014 3/31/2014	406,544,282 9.611,835	24,749,245 1,500,000	381,795,037 8,111,835	544,999,085 1,750,283	527,575,129 618,541	17,423,956 1,131,742	15,000,000 500,000	10,796,577	7,155,401	3,641,176	2,500,000	0	0	0	0	35,172,444
	8 LINN GROUP THE	FCM	NFA	3/31/2014	3,535,275	2,146,575	1,388,700	80,213,427	76,587,476	3,625,951	2,000,000	355,418	10,668	344,750	2,667	0	0	0	0	0
	9 LPL FINANCIAL LLC	FCM BD	NFA	3/31/2014	180,248,365	6,512,852	173,735,513	0	0	0	0	0	0 00 010 770	0	0	0	0	0	0	0
	0 MACQUARIE FUTURES USA LLC 1 MAREX NORTH AMERICA LLC	FCM FCM	CBOT CME	3/31/2014	164,298,311 13,732,195	130,863,509 8,454,526	33,434,802 5,277,669	1,692,832,904 109,829,470	1,510,080,394 102,236,067	182,752,510 7,593,403	90,000,000 5,110,553	35,766,482 12,540,868	-77	15,752,706 1,217,520	, ,	19,782,894 0	3,519,585	16,263,309	246,371 0	0
57 5	2 MB TRADING FUTURES INC	RFED	NFA	3/31/2014	24,359,645	21,097,950	3,261,695	0	0	0	0	0	0	0	0	0	0	0	0	31,958,997
	3 MCVEAN TRADING & INVESTMENTS LLC 4 MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	NFA CBOT	3/31/2014 3/31/2014	13,671,653 10,865,711,668	3,419,768 1,063,308,879	10,251,885 9,802,402,789	806,310,318 10,119,920,004	785,877,759 9,811,119,920	20,432,559 308,800,084	18,000,000 270,000,000	, ,		2,417,861 309,475,765	,	0 1,506,541,035	0 1,315,799,360	190,741,675	135,000,000	0
60 5	5 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	3/31/2014	2,118,629,623	374,644,904	1,743,984,719	1,411,463,741	763,051,643	648,412,098	300,000,000	4,276,088		3,705,260		1,000	,,,	1,000	1	0
	6 MID CO COMMODITIES INC 7 MINT BROKERS	FCM FCM BD	NFA NFA	3/31/2014 3/31/2014	10,268,815 10,104,986	5,892,563 1,000,000	4,376,252 9,104,986	45,330,112 0	34,693,826 0	10,636,286	5,000,000	0	0	0	0	0	0	0	0	0
63 5	8 MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	3/31/2014	199,544,308	1,374,300	198,170,008	0	0	0	0	0	0	0	0	0	0	0	0	0
	9 MIZUHO SECURITIES USA INC 0 MORGAN STANLEY & CO LLC	FCM BD SD FCM BD SD	CME/NFA	3/31/2014 3/31/2014	551,075,156 9,275,407,073	235,453,402 1,639,345,320	315,621,754 7,636,061,753	2,558,992,966 10,504,805,529	2,361,175,097 10,297,974,416	197,817,869 206,831,113	150,000,000 105,000,000	- , ,		100,520,271 208,291,179		107,439,342 3,902,225,710	82,433,429 3,785,241,247		15,000,000 92.000.000	0
66 6	1 MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	3/31/2014	4,752,002,491	167,360,768	4,584,641,723	609,081,353	545,075,971	64,005,382	43,606,078	64,136,558		17,115,978		0	) 0	0	0	0
	2 NEUBERGER BERMAN LLC 3 NEWEDGE USA LLC	FCM BD SD	NFA NYME/NFA	3/31/2014 3/31/2014	39,775,041 1,798,732,561	1,500,000 1,056,477,636	38,275,041 742,254,925	0 14,797,647,778	0 14,196,972,068	0 600,675,710	500,000,000	3,252,716,733	0 2,852,847,099	399,869,634	300,000,000	0 485,187,285	0 409,783,725	75,403,560	30,000,000	0
69	4 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	3/31/2014	2,061,127,322	46,699,831	2,014,427,491	84,313,902	61,922,510	22,391,392	10,000,000			10,881,702		38,050,831	16,912,981		20,000,000	0
	5 OANDA CORPORATION 6 OPPENHEIMER & CO INC	FCMRFD FCM BD	NFA NFA	3/31/2014 3/31/2014	132,635,110 172,951,925	25,712,383 26,822,665	106,922,727 146,129,260	0 28,672,095	9,339,096	0 19,332,999	0 1,779,917	0	0	0	0	0	0	0	0	124,247,651
	7 OPTIONSXPRESS INC	FCM BD	NFA	3/31/2014	111,660,816	6,961,869	104,698,947	108,583,392	86,867,238	21,716,154	8,664,132	2,023,588	922,439	1,101,149	92,244	0	0	0	0	0
	8 PHILLIP FUTURES INC 9 RAND FINANCIAL SERVICES INC	FCM FCM	CME	3/31/2014	27,862,273	20,000,000	7,862,273	143,369,745	124,175,881	19,193,864 42,671,100	6,208,794	9,222,383		6,076,233		0	0	0	0	0
	9 RAND FINANCIAL SERVICES INC 0 RBC CAPITAL MARKETS LLC	FCM BD	CME CME	3/31/2014 3/31/2014	79,975,639 1,353,693,330	18,025,814 119,612,147	61,949,825 1,234,081,183	254,801,100 1,205,757,800	212,130,000 1,109,938,179	42,671,100 95,819,621	6,363,900 80,000,000	30,910,100 110,737,721		21,369,900 33,215,638		0	0	0	0	0
76 7	1 RBS SECURITIES INC	FCM BD	CBOT	3/31/2014	4,266,599,218	161,653,245	4,104,945,973	1,729,717,807	1,577,901,067	151,816,740	125,000,000	194,909,774		155,806,887	,,	352,065,852	258,796,453	93,269,399	80,000,000	
_	2 RJ OBRIEN ASSOCIATES LLC 3 ROSENTHAL COLLINS GROUP LLC	FCM SD FCM	CME/NFA CME	3/31/2014	198,691,583 83,673,427	156,672,651 63,128,166	42,018,932 20,545,261	3,779,926,667 1,432,064,405	3,665,843,450 1,406,714,009	114,083,217 25,350,396	40,000,000 18,000,000	159,339,752 34,074,475	,,	28,869,790 10,021,549	-,,	0	0	0	0	504,564 0
79 7	4 SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	3/31/2014	173,465,108	20,430,205	153,034,903	0	0	0	0	0	0	0	0	0	0	0	0	0
	5 SANTANDER INVESTMENT SECURITIES INC 6 STATE STREET GLOBAL MARKETS LLC	FCM BD FCM BD	NYME CME	3/31/2014	147,567,600 531,150,152	11,142,486 51,736,168	136,425,114 479,413,984	766,875,270 631,174,779	764,415,180 467.676,213	2,460,090 163.498.566	2,000,000 23.383.811	101.977.060	0 61.459.602	40.517.458	15,000,000	0 244,277,399	144.068.300	100,209,099	50.000.000	0
82 7	7 STRAITS FINANCIAL LLC	FCM	CME	3/31/2014	8,568,351	4,561,376	4,006,975	137,740,518	131,692,315	6,048,203	2,000,000	35,244,679	- ,,	2,183,980	300,000	0	) 0	0	0	0
_	8 TD AMERITRADE INC 9 TIMBER HILL LLC	FCM BD FCM BD	NFA CME	3/31/2014 3/31/2014	318,978,587 560,561,162	11,146,438 56,197,835	307,832,149 504,363,327	197,763,089 802,424,252	150,513,174 797,403,959	47,249,915 5,020,293	5,000,000 1,000,000	0	0	0	0	0	0	0	0	0
85 8	0 TRADESTATION SECURITIES INC	FCM BD	NFA	3/31/2014	56,986,068	4,823,523	52,162,545	443,550,804	433,253,633	10,297,171	9,000,000	21,550,909		1,072,681		0	0	0	0	0
	1 UBS FINANCIAL SERVICES INC 2 UBS SECURITIES LLC	FCM BD FCM BD	NFA CBOT	3/31/2014 3/31/2014	1,299,713,407 8,365,835,776	94,233,902 952,695,586	1,205,479,505 7,413,140,190	126,290,737 9,374,585,212	103,185,951 8,675,244,156	23,104,786 699,341,056	20,637,190 607,267,091	24,165,420 4,334,200,288		22,030,189 440.020,474		881,992,949	0 651,815,675	230.177.274	200.000.000	0
0/ 0	Z JUBO SECURITIES LLC	LCM RD	CDUI	3/31/2014	0,305,835,776	952,695,586	1,413,140,190	9,314,585,212	0,075,244,156	099,341,056	007,207,091	4,334,200,288	3,094,179,814	440,020,474	400,000,000	081,992,949	051,815,675	230,177,274	∠∪∪,∪∪∪,∪00	0

## SELECTED FCM FINANCIAL DATA AS OF March 31 2014 FROM REPORTS FILED BY May 01, 2014

АВ	С	D	Е	F	G	Н	I	J	K	L	М	N	0	Р	Q	R	S	Т	U
Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
4	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(o)	(p)	(q)
5																			,
88 83 VISION FINANCIAL MARKETS LLC	FCM BD	CME	3/31/2014	35,652,447	16,905,764		550,031,088	537,099,088	12,932,000	8,000,000	16,634,263	14,064,531	2,569,732	1,500,000	0	0	0	0	0
89 84 WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	_	NFA	3/31/2014	57,103,563	1,000,000	, ,	0	0	0	0	0	0	0	0	0	0	0	0	0
90 85 WELLS FARGO ADVISORS LLC	FCM BD	NFA	3/31/2014	1,901,716,077	1,500,000	1,900,216,077	0	0	0	0	0	0	0	0	0	0	0	0	0
91 86 WELLS FARGO SECURITIES LLC	FCM BD	CME	3/31/2014	2,951,326,355	194,062,702	, . , ,	363,532,330	272,870,873	90,661,457	25,000,000	10,839,711	817,772	10,021,939	3,000,000	1,330,900,747	1,151,376,082	179,524,665	100,000,000	0
92 87 WHITE COMMERCIAL CORPORATION	FCM	NFA	3/31/2014	2,541,207	1,000,000	1,541,207	0	0	0	0	0	0	0	0	0	0	0	0	0
93 88 WHOTRADES FX LLC	FCM	NFA	3/31/2014	2,041,902	1,000,000	1- 1	0	0	0	0	0	0	0	0	0	0	0	0	0
94 89 XCHANGE FINANCIAL ACCESS LLC	FCM BD	NFA	3/31/2014	6,312,445	1,085,374		26,653,828	24,609,408		1,000,000	0	0	0	0	0	0	0	0	0
95 90 YORK BUSINESS ASSOCIATES LLC	FCM	NFA	3/31/2014	4,621,108	1,000,000	3,621,108	49,934,342	46,602,303	3,332,039	700,000	2,096,650	1,848,455	248,195	200,000	0	0	0	0	0
96																			
97																			
98 Totals							164,975,681,297	153,058,931,931	11,916,749,366	7,663,469,011	39,901,416,945	34,917,190,487	4,984,226,458	3,035,708,396	35,405,728,979	29,812,798,519	5,592,930,460	2,483,593,718	603,894,027
99																			
100 February Web Page Update	91																		
101																			
102 Additions	1																		
103 MINT BROKERS																			
104																			
105 Deletions	2																		
106 BNY MELLON CLEARING LLC			1																
107 MITSUI BUSSAN COMMODITIES USA INC																			
108																			
109 Name Changes																			
110 None																			
111																			,
112 March Web Page Update	90																		
113																			
114 (a): FCM: Futures Commission Merchant that is regis	tered with the Co	mmodity Futi	ures Trading C	commission.															

- - The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.
  - RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission
  - FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.

    SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.
- (b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.
- (c): A firm's net capital requirement is the greater of:
- FCM minimum dollar amount (\$1,000,000); or
- risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or
- the amount of capital required by a registered futures association (currently NFA is the only such association); or
- for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or
- minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.
- (d): Excess net capital is adjusted net capital, less the firm's net capital requirement.
- (e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.
- (f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.
  - (g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.
- (h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.
- (i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.
- (j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.
- (k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.
- (I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.
- (m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.
- (n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.
- (o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.
- (p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.
- (q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or account, adjusted for the realized and unrealized net profit or loss.